

Preadmission Screening and Resident Review (PASRR)

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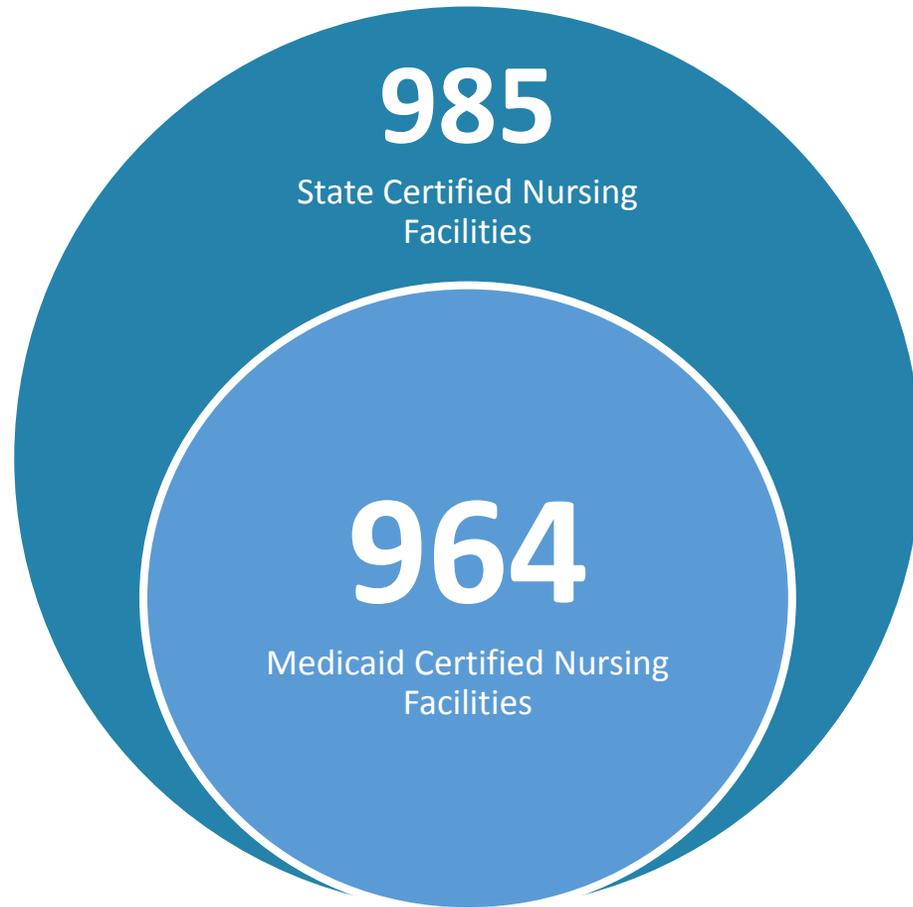
Bureau of Long-Term Care Services and Supports



Making Ohio Better

Preadmission Screening and Resident Review

- Federal and State regulations require that individuals are assessed for indications of serious mental illness and/or developmental disability before being admitted to a nursing facility and on a systematic basis following a significant change in their condition
- PASRR regulations apply to all applicants and residents of Ohio Medicaid certified nursing facilities, regardless of the individual's method of payment (payer source)
- The purpose of PASRR is to ensure that individuals are admitted to the setting most appropriate for their needs



98% of Ohio nursing facilities are **Medicaid certified** and **subject to PASRR** requirements

*Data provided by the Ohio Department of Health website through their Health Care Provider Real-Time Information database at http://publicapps.odh.ohio.gov/eid/Provider_Search.aspx

Origins of PASRR

- Created in 1987 through language in the Omnibus Budget Reconciliation Act (OBRA)
- **Three goals of PASRR:**
 - » Identify individuals with mental illness and/or intellectual disability
 - » Ensure these individuals are placed appropriately (in community or NF)
 - » Ensure they receive the services they require for their mental illness and/or intellectual disability (wherever they are admitted)
- Federal requirement to help ensure that individuals are not admitted to NFs unless it is least restrictive setting possible

Regulations and Statutes

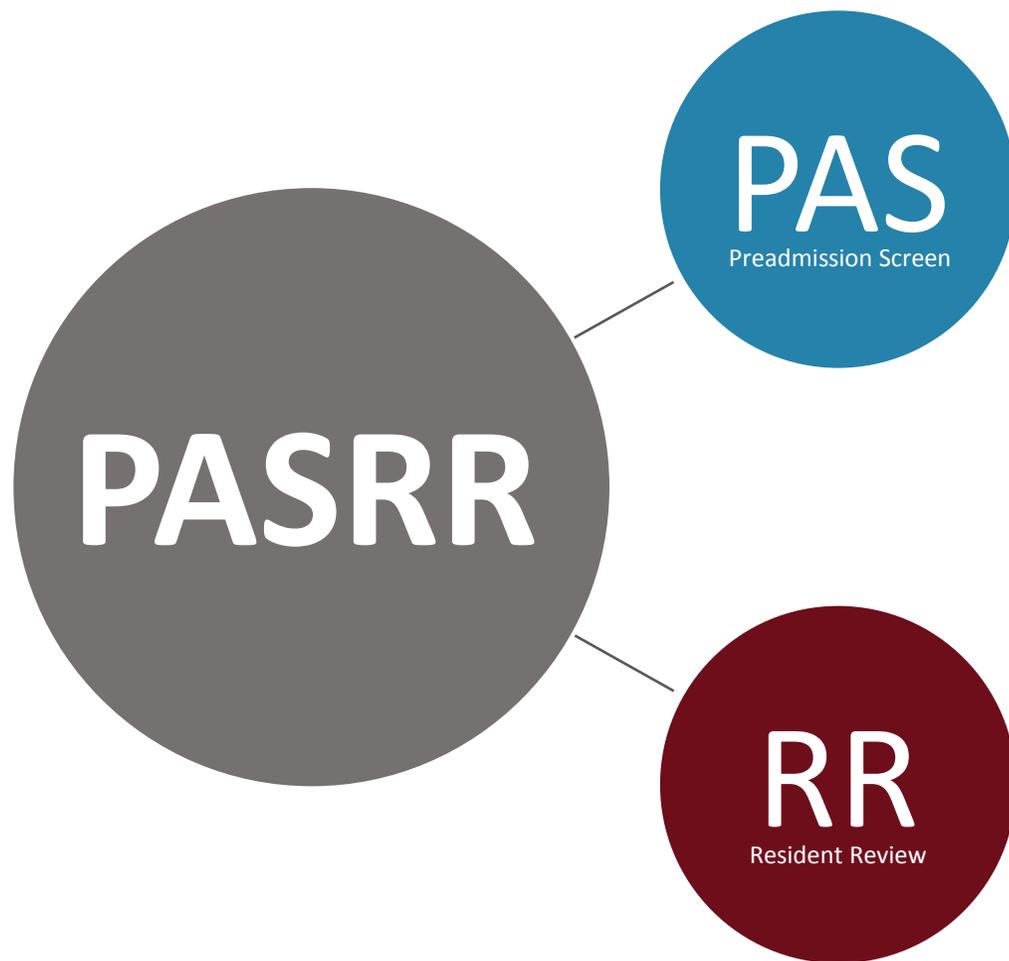
- **Federal Regulations**

- » §1919(e)(7) of the Social Security Act
- » 42 CFR §483.100 - §483.138

- **State Rules (Ohio Administrative Code)**

- » 5160-3-15 Preadmission screening and resident review definitions
- » 5160-3-15.1 Preadmission screening requirements
- » 5160-3-15.2 Resident review requirements
- » 5123:2-14-01 Intellectual disabilities
- » 5122-21-03 Serious mental illness

Pieces of PASRR



• Level 1 Screen

- Typically completed by hospital discharge planners or nursing facility social workers and then submitted to the appropriate PAA for review

• Level 2 Evaluation

- Completed by OhioMHAS via KEPRO (contractor) and by DODD through the county boards

• Required when a resident meets specific criteria:

- Admitted under Hospital Exemption and needs to stay longer than 30 days
- NF transfer or readmission with no previous PASRR records
- Admission/readmission following a hospital stay for psychiatric treatment
- Significant change in condition (better or worse)
- Categorical determination found to require longer stay than what was originally specified
- Time period for original RR determination will be exceeded

Primary Roles

Ohio
Department of Medicaid



Ultimate oversight of the PASRR program

Ohio | Department of Aging



Manages Level I Preadmission Screen (PAS) and issues level of care (LOC) determinations

Ohio MHAS
Promoting wellness and recovery
MENTAL HEALTH & ADDICTION SERVICES



Responsible for Level II evaluations for Serious Mental Illness (SMI)

Ohio | Department of Developmental Disabilities



Responsible for Level II evaluations for Developmental Disability (DD) and Related Condition (RC)

Secondary Roles



Completes in-person Level II assessment for OhioMHAS



Completes in-person Level II assessments for DODD and arranges for supports/services



Regulates Ohio-licensed nursing facilities



Advocates for admission of individuals to the most integrated setting

Preadmission Screening Identification (PAS-ID)

- Designed to screen individuals seeking admission to a nursing facility for indications of developmental disabilities and/or serious mental illness
- **Must be completed before the individual can be admitted to the nursing facility**
 - » ODM 3622 form is completed via the electronic system (HENS) or manually and faxed to the PAA
 - » Nursing facility is ultimately responsible for ensuring that determination approving NF admission is rendered before admitting the individual to its facility

Serious Mental Illness

Diagnosis

- Individual does not have a **primary** diagnoses of dementia
- Individual has a major mental disorder diagnosable under the DSM-5 as one of the following:
 - A schizophrenic, mood, delusional (paranoid), panic or other severe anxiety disorder,
 - Somatoform disorder
 - Personality disorder,
 - Other psychotic disorder, or
 - Another mental disorder other than developmental disability that may lead to a chronic disability diagnosable under the DSM-5.

Level of Impairment

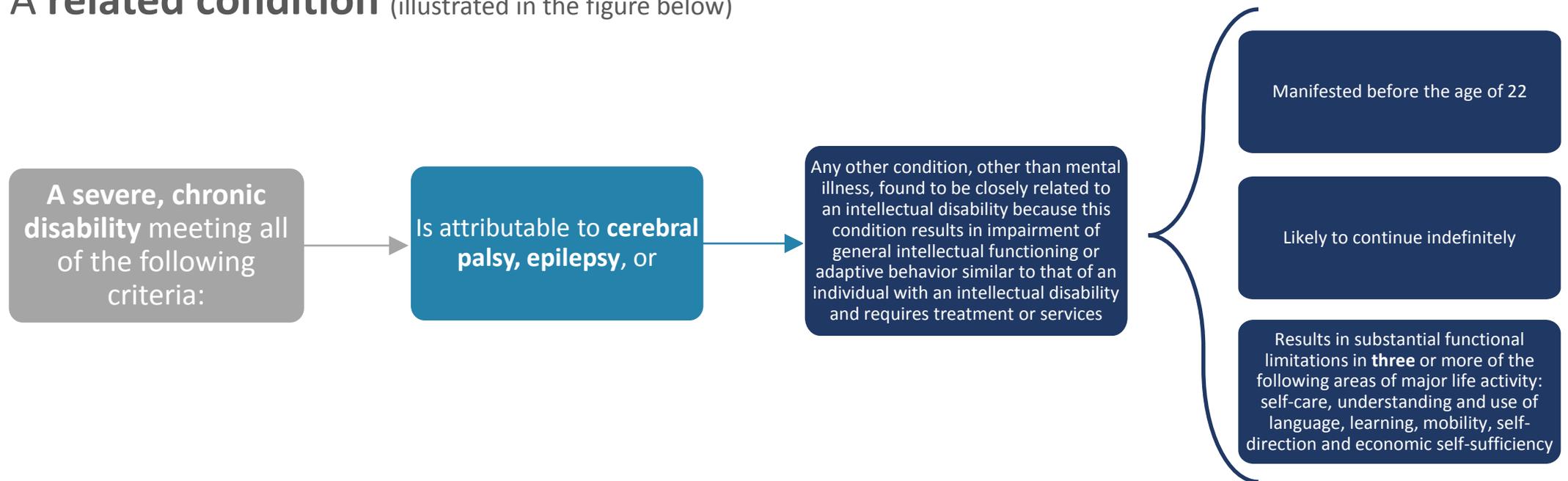
- Within the past six months, due to mental disorder, the individual has experienced functional limitations on a continuing or intermittent basis in major life activities that would be appropriate for the individual's developmental stage.

Recent Treatment

- The treatment history indicates that the individual has experienced at least one of the following:
 1. Psychiatric treatment more intensive than counseling and/or psychotherapy performed on an outpatient basis more than once within the past two years, or
 2. Within the last two years, due to mental disorder, experienced an episode of significant disruption to the usual living arrangement, for which supportive services were required, or which resulted in intervention by housing or law enforcement officials.

Developmental Disability

- A condition as described in the *American Association of Intellectual and Developmental Disabilities* manual “Intellectual Disabilities: Definition, Classification, and Systems of Supports” (11th Edition), or
- A **related condition** (illustrated in the figure below)



PAS-ID (Level I) Results

- PAS-ID results determine whether an individual is subject to further review
- Individuals with:
 - » **No** indications of SMI and/or DD are not subject to further review
 - » Indications of **SMI** are subject to further review by OhioMHAS
 - » Indications of **DD** are subject to further review by DoDD
 - » Indications of **SMI and DD** are subject to further review by **both** OhioMHAS and DoDD
 - » Individuals over the age of 22 who have previously been ruled out by DODD are not subject to further review

Categorical Determination

Determination which may be made for an individual with SMI and/or DD **without first completing a full PAS evaluation*** when the circumstances fall into one of the following categories:

1

Emergency Nursing Facility Stay

An individual being admitted to a nursing facility pending further assessment **for a period not to exceed seven (7) days** when the admission is necessary to avoid serious risk to the individual of immediate harm or death

2

Respite Nursing Facility Stay

Admission of an individual to a nursing facility **for a maximum of fourteen (14) days** in order to provide respite to in-home caregivers to whom the individual is expected to return following the brief respite stay

*Resident Review (RR) will be required for categorical determinations found to require a nursing facility stay longer than the specified time limit for the category

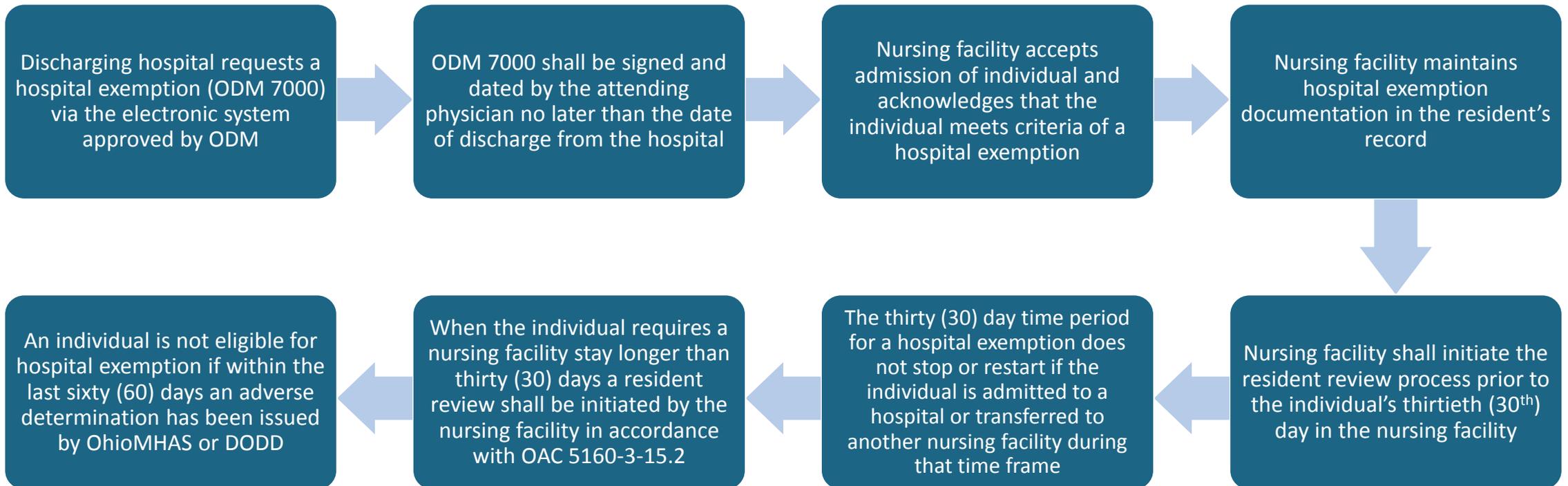
Hospital Exemption

Preadmission Screening Identification (PAS-ID or ODM 3622) is not required for individuals who meet the following definition of a hospital exemption*:



*must complete the ODM 7000

Hospital Exemption Process



PAS Level II Evaluations

Preadmission Screening for Serious Mental Illness (PAS-SMI)

Process by which OhioMHAS determines whether the individual who has a serious mental illness requires the level of services provided by a nursing facility or another type of setting, and whether they requires specialized services.



Subcontractor completes the in-person level II evaluation for OhioMHAS and then forwards the results on to the department for determination

Preadmission Screening for Developmental Disability (PAS-DD)

Process by which DoDD determines whether the individual who has a developmental disability requires level of services provided by a nursing facility or another type of setting, and whether they requires specialized services.



Subcontractor completes the in-person level II evaluation for DODD and then forwards the results to the department for determination

Specialized Services

Serious Mental Illness

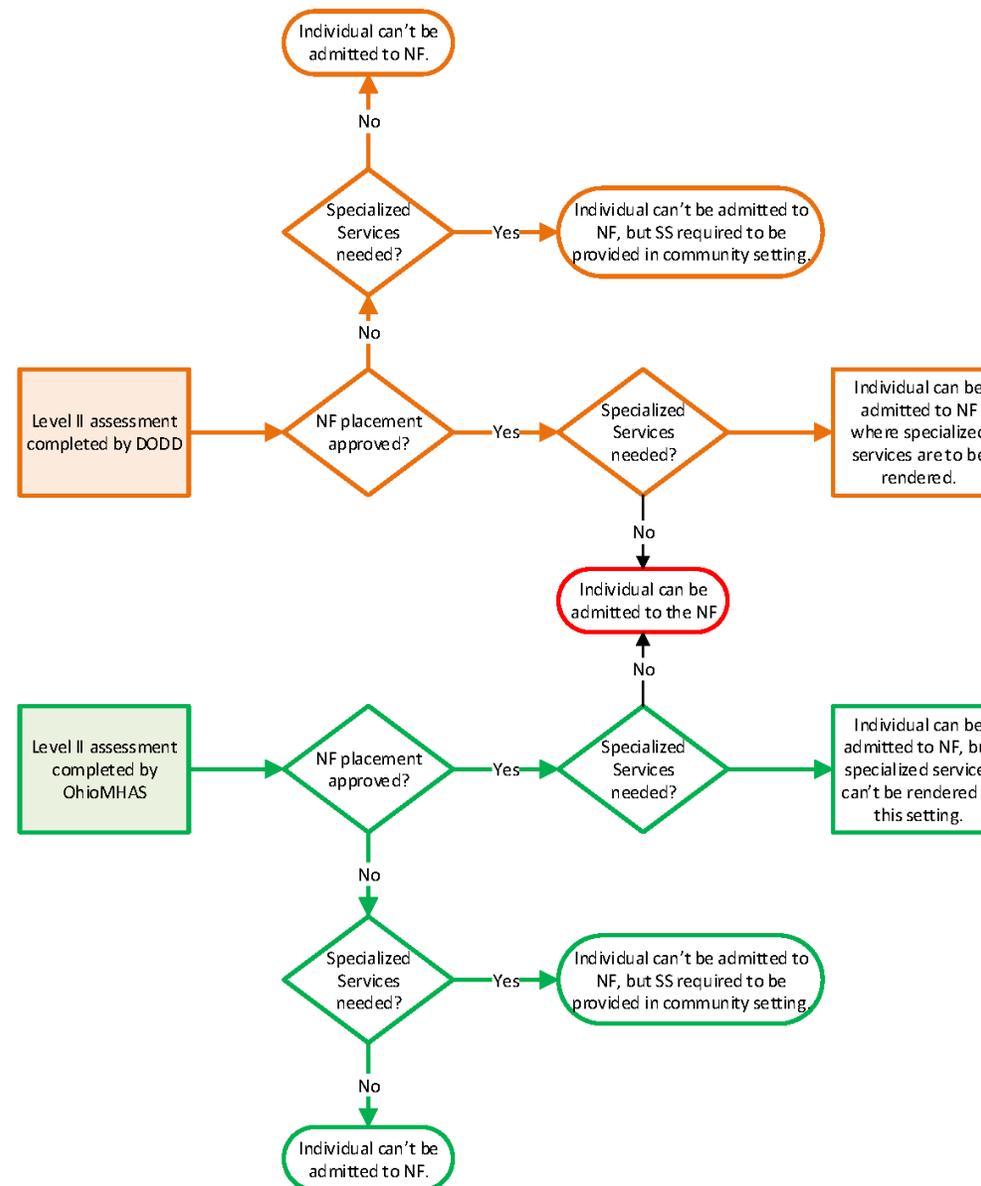
- **Services that are distinct from those available in a nursing facility that results in the continuous and aggressive implementation of an individualized plan of care approved by OhioMHAS**
 - Developed and supervised by an interdisciplinary team including a physician, trained mental health professionals, and other professionals (as appropriate)
 - Prescribes specific therapies and treatment activities for an individual experiencing an acute episode of SMI that necessitates supervision in an **inpatient setting licensed and/or operated by OhioMHAS**
 - Time-limited and directed toward diagnosing and reducing the individual's behavioral symptoms and achieving a functioning level that permits reduction in the intensity of mental health services to below the level of specialized services at the earliest possible time

Developmental Disabilities

- **Services specified by the PAS/RR determination resulting in continuous active treatment to address needs in each of the life areas in which functional limitations have been identified**
 - Provided for and arranged by the County Board of Developmental Disabilities
 - Made available at the intensity and frequency necessary to meet the needs of the individual
 - **Can be provided in a nursing facility setting**

Specialized Services

- The delivery of specialized services depends on which route the individual takes through the process
- DODD and OhioMHAS have different rules on the delivery of specialized services
 - » DODD allows specialized services to be rendered in a NF setting
 - » OhioMHAS does not allow specialized services to be rendered in a NF setting
- Individuals determined to not require NF admission, but do require specialized services will still be served in the community setting



Resident Review (RR)

- Nursing facilities are prohibited from retaining individuals with SMI or DD unless a thorough evaluation indicates that such an admission is appropriate and adequate services can be provided
- **The following individuals are required to complete a Resident Review (RR):**
 - » Individual admitted under the Hospital Exemption criteria and **found to require more than 30 days of service in the facility**
 - » Individual is transferring to a nursing facility and there are **no PASRR records available from the previous nursing facility**
 - » Individual transferring to a new facility or being readmitted to the same nursing facility after an intervening hospital stay for psychiatric treatment **and has experienced a significant change in condition**
 - » Individual has experienced a significant change in condition
 - » Individual was admitted under a categorical determination (emergency or respite stay) and **is found to require a stay in the nursing facility longer than the specified time limit for that category**
 - » Individual received a resident review determination for a specified period of time and **has since been found to require a stay in a nursing facility exceeding the period of time**

Resident Review Process

- **The nursing facility is responsible for initiating a resident review**
 - » Complete and submit the ODM 3622 “Preadmission Screening/Resident Review (PAS/RR) Identification Screen”
 - » Complete and submit the RR/ID via the electronic system approved by ODM (Ohio Department of Aging’s HENS system) or manually with the paper tool
 - » Supporting documentation should be included with the submission of the 3622 or within the electronic system
- **The NF shall review the completed ODM 3622 to ensure it is complete and to determine if the individual has indications of SMI or DD**
- Routing of the ODM 3622 for resident review is similar to the PAS process, where individuals determined to have indications of SMI or DD or both are subject to further review by the appropriate state agency (OhioMHAS or DD or both respectively)
 - » Individuals determined to have no indications of SMI and/or DD are not subject to any further review and may continue to reside in the nursing facility
 - » The NF is responsible for maintaining the 3622 and supporting evidence in the individual’s file

Resident Review Determinations

- OhioMHAS and DODD may approve a determination for an **unspecified** or **specified** period of time
 - » A specified period of time may not exceed 180 days
- An extension request to the original **specified** period of time determined by these state authorities **must be approved by ODM**
 - » Extensions shall not exceed 90 days
 - » Nursing facilities shall initiate a Resident Review at least 30 days prior to the expiration of the determination in order to receive consideration for an extension

When does the process end?

- PASRR is a **cyclical process** as long as the resident resides in a nursing facility
 - » An individual who originally does not trip for SMI or DD should be reevaluated after a significant change in their condition
- The **definitive end point** for the PASRR process is when the individual is discharged from the facility or passes away prior to discharge

Questions?

Please don't hesitate to contact me with any additional questions.

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